

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Theodore A. Chapman; Richard E. Schumaker; Andrew W. Edwards;  
Stephen S. Morris; James P. Harkins; Bradley S. Jarvis  
Assignee: Printronix, Inc.  
Title: RFID Tag and Printer System  
Application No.: Unassigned Filing Date: Herewith  
Examiner: Unassigned Group Art Unit: Unassigned  
Docket No.: M-15268 US

Irvine, California  
September 12, 2003

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449 (*Substitute*). The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98 (*except where otherwise indicated for a specific citation*).

Complete copies are not submitted of U.S. applications per 37 C.F.R. §1.98(a)(2)(iii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed per 37 C.F.R. §1.98(d). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevancy is provided pursuant to 37 C.F.R. §1.98(a)(3). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. 57 F.R. 2021 (1/17/92). If a written

English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement. 37 C.F.R. §1.98(c).

*This statement should be considered because:*

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within 3 months of the application filing date;  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of a first Office action *on the merits*,  
-- OR --
- (4) It is being filed before the mailing date of a first Office action *after the filing of an RCE*

whichever occurs last.

☐ Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action and before a Notice of Allowance or another action closing prosecution (whichever occurs first)

-- AND (check at least one of the following) --


- ☐ (1) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p)  
-- OR --
- ☐ (2) It is accompanied by a CERTIFICATION as set forth in 37 C.F.R. §1.97(e)

☐ Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is accompanied by a CERTIFICATION as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (2) It is accompanied by a STATEMENT TO ACCEPT INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d);  
-- AND --
- (3) It is accompanied by the fee set forth in 37 C.F.R. §1.17(p);  
-- AND --
- (4) The Issue Fee has not been paid prior to the submission.

- ☒ **Fee Authorization.** No fees are due for this submission as it has been filed prior to the issuance of a first office action. However, should the Patent Office determine that a fee is due, please charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2257. A duplicate copy of this authorization is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail [No. EV 174 798 735 US] in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 12, 2003.

  
Adriane Giberson

9-12-03  
Date of Signature

Respectfully submitted,



Tom Chen  
Attorney for Applicants  
Reg. No. 42,406

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-15268 US		Unassigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s)			
(Use several sheets if necessary)					Theodore A. Chapman et al.			
					Filing Date		Group	
					Herewith		Unassigned	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	6,366,260	04/02/2002	Carrender	343	866		
	AB	6,409,401	06/25/2002	Petteruti et al.	400	88		
	AC	6,415,978	07/09/2002	McAllister	235	462.01		
	AD	6,520,544	02/18/2003	Mitchell et al.	283	70		
	AE	6,557,758	05/06/2003	Monico	235	380		
	AF	6,593,853	07/15/2003	Barrett et al.	340	572.1		
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								